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FORM PTO-1390 (REV 11-98)	TMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	RNEY'S DOCKET NUMBER					
TRANSMITTAL LETTER TO THE UNITED STATES		376.11					
DESIGNATED/ELECT	ED OFFICE (DO/EO/US)	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
CONCERNING A FILI	NIt 0 / sign#d 9 1 4 8						
INTERNATIONAL APPLICATION NO. PCT/EP01/06589	INTERNATIONAL FILING DATE 11 June 2001	PRIORITY DATE CLAIMED 9 June 2000					
TITLE OF INVENTION METHOD AND APPARATUS FOR PROCESSING AN ONLINE TRANSACTION OVER A COMMUNICATIONS							
APPLICANT(S) FOR DO/EO/US STEPHAN SCHAMBACH; FRANK FESSNER; ULRIKE MULLER; CHRISTIAN MALLWITZ NETWORK							
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:							
1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.							
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.							
<ul> <li>'3.</li></ul>							
5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
a. is transmitted herewith (required only if not transmitted by the International Bureau).							
b. X has been transmitted by the International Bureau.							
- CO-1	pplication was filed in the United States Rece	• ,					
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7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))  a. are transmitted herewith (required only if not transmitted by the International Bureau).							
b. have been transmitted by the International Bureau.							
c. have not been made; however, the time limit for making such amendments has NOT expired.							
d. X have not been made and will not be made.							
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. X An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11. to 16. below concern document(s) or information included:  11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. X A FIRST preliminary amendment.							
A SECOND or SUBSEQUENT preliminary amendment.							
14. A substitute specification.							
15. A change of power of attorney and/or address letter.							
16. Other items or information:							
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PROPERTY				JC131	Rec'd PCT/PTC	0.8 FFB 200	
17. X The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5) ): Notifier international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO	u.s. application no. (if) Not Assig	media 9 4	PC17E401706589		ATTORNEYS DOC		
Neither international preliminary examination fee (37 CFR 1.482) and international search (62 GT CFR 1.4872) point to USPTO and International Search Report not prepared by the EPO or JPO \$384000   International Preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report nemared by the EPO or JPO \$384000   International preliminary examination fee (37 CFR 1.482) not paid to USPTO but \$890. 00   International search fee (37 CFR 1.485) not paid to USPTO but \$890. 00   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   International preliminary examination fee paid to USPTO (37 CFR 1.482)   In	17. X The following	lowing fees are submitted:	)(1) (5)).		CALCULATIONS	S PTO USE ONLY	
non international search fice (37 CFR 1.443(s)(2)) paid to USPTO and International Search for not prepared by the EPO or IPO							
USPTO but International Search Renort memared by the EPO or IPO	nor internatio	nal search fee (37 CFR 1.44	45(a)(2)) paid to USPTO	\$970.00			
International search fee (37 CFR 1.443(a)(2)) paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)	USPTO but I	nternational Search Renort r	prepared by the EPO or JPO· · ·				
but all claims did not satisfy provisions of PCT Article 33(1)-(4)	International j international	preliminary examination fee search fee (37 CFR 1.445(a	(37 CFR 1.482) not paid to USP (2)) paid to USPTO	TO but \$890 \$760.00	90		
Surcharge of \$130.00 for furnishing the oath or declaration later than to late that the late that late t							
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 \$ months from the earliest claimed priority date (37 CFR 1.492(e)).  Total claims							
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 \$ months from the earliest claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims 22 .20 = 2 X \$18.00 \$ 36.00  Independent claims 8 .3 = 5 X \$84.00 X \$\$\$X\$\$X\$ \$ 420.00  MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$560.00 \$ 0  TOTAL OF ABOVE CALCULATIONS = \$ 1346.00  Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also by filed (Note 37 CFR 1.9, 1.27, 1.28).  SUBTOTAL = \$ 1346.00  Processing fee of \$130.00 for furnishing the English translation later than 20 30 \$ months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$ 0  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the earliest claimed priority date (37 CFR 3.28, 3.31). \$40.00 per property \$ months from the ea	and an Claims			•	\$ 890 00	7	
CLAIMS   NUMBER FILED   NUMBER ENTRA   RATE					3 690.00		
Total claims   22	months from the	earliest claimed priority dat	e (37 CFR 1.492(e)).		\$		
Independent claims		0.0			9 36 00	T	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)							
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also by filed (Note 37 CFR 1.9, 1.27, 1.28).  SUBTOTAL = \$ 1,346.00  Processing fee of \$130.00 for furnishing the English translation later than 20 30 \$		<u> </u>					
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also by filed (Note 37 CFR 1.9, 1.27, 1.28).  SUBTOTAL = \$1346.00   Processing fee of \$130.00 for furnishing the English translation later than							
SUBTOTAL = \$ 1346.00  Processing fee of \$130.00 for furnishing the English translation later than		for filing by small entity, if	applicable. A Small Entity Stat		s		
Processing fee of \$130.00 for furnishing the English translation later than 20 30		•		OTAL -	\$ 1246,00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property    TOTAL FEES ENCLOSED    **S    **S    **TOTAL FEES ENCLOSED    **S 1346.00    **Amount to be: refunded charged    **S    **A check in the amount of \$ 1346.00    **It is a solve the above fees is enclosed.**  **Description of the sheet is enclosed.**  **Description of the sheet is enclosed.**  **NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**  **NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**  **SEND ALL CORRESPONDENCE TO: Richard A. Nebb, Esq. Dergosits & Noah LLP    **Embarcadero Center**  **SIGNATURE: Richard A. Nebb  **SAMETICAL TOTAL TEST TOTAL	Processing fee of \$130.00 for furnishing the English translation later than 20 30						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   TOTAL FEES ENCLOSED = \$1346.00  Amount to be: refunded charged \$  a. A check in the amount of \$1346.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0822 A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO: Richard A. Nebb, Esq. Dergosits & Noah LLP 4 Embarcadero Center Suite 1450  San Francisco, California 94111  33,540					<b>s</b> 0	-	
Amount to be: refunded charged \$  a. A check in the amount of \$\frac{1346.00}{\text{ to cover the above fees is enclosed.}}  b. Please charge my Deposit Account No. in the amount of \$\frac{1}{\text{ to cover the above fees.}}}  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \frac{04-0822}{\text{ . A duplicate copy of this sheet is enclosed.}}  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO: Richard A. Nebb, Esq.  Dergosits & Noah LLP  4 Embarcadero Center  Suite 1450  San Francisco, California 94111  33,540	Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be			s			
Amount to be: refunded charged \$  a. A check in the amount of \$\frac{1346.00}{1346.00}\$ to cover the above fees is enclosed.  b. Please charge my Deposit Account No. in the amount of \$\frac{1}{2}\$ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \frac{04-0822}{04-0822}\$. A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO: Richard A. Nebb, Esq.  Dergosits & Noah LLP 4 Embarcadero Center Suite 1450  San Francisco, California 94111  33,540			TOTAL FEES ENC	LOSED =	\$1346.00		
a. A check in the amount of \$\frac{1346.00}{\text{to cover the above fees is enclosed.}}  b. Please charge my Deposit Account No							
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Richard A. Nebb, Esq.  Dergosits & Noah LLP  4 Embarcadero Center  Suite 1450  San Francisco, California 94111  Signature: Richard A. Nebb  NAME  33,540	1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
Dergosits & Noah LLP  4 Embarcadero Center  Suite 1450  San Francisco, California 94111  Signature: Richard A. Nebb  NAME  33,540	1//						
4 Embarcadero Center  Suite 1450  San Francisco, California 94111  33,540			<del>-</del>	SIGNATU	JRÉ:		
Suite 1450 San Francisco, California 94111 33,540				Rich	nard A. Nebb		
				NAME			
REGISTRATION NUMBER .	San Francisco, California 94111 33,			<u> 540</u> .			
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